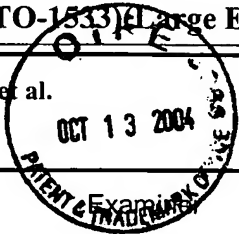


**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
15436.121.1.1

In Re Application Of: William Freeman et al.



Application No.
10/759,261

Filing Date
01/19/2004

Unassigned

Customer No.
022913

Group Art Unit
3634

Confirmation No.
6915

Invention: **PHOTOPOLYMERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS**

Mail Stop Missing Parts

COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
04/21/2004
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

PTO-1595 Recordation Form Cover Sheet and Assignment conveying invention to FINISAR CORPORATION.

10/18/2004 HHEKONEN 00000016 10759261

02 FC:1254

1530.00 DP

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
15436.121.1.1

In Re Application Of: **William Freeman et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/759,261	01/19/2004	Unassigned	022913	3634	6915

Invention: **PHOTOPOLYMERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

X Assignment Recordation Fee **\$40.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees **\$170.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: June 21, 2004 until: October 21, 2004
Date Date

Total time extension fees **\$1,530.00**

Total fees due **\$1,700.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
15436.121.1.1

In Re Application Of: William Freeman et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/759,261	01/19/2004	Unassigned	022913	3634	6915

Invention: **PHOTOPOLYMERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$1,700.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-3178
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 23-3178
- ☒ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.


Signature

FRASER D. ROY
Registration No. 45,666
Customer No. 022913

Dated: October 13, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 15436.121.1.1	
Applicant(s): William Freeman et al.				
Application No. 10/759,261	Filing Date 01/19/2004	Examiner Unassigned	Customer No. 022913	Group Art Unit 3634

Invention: **PHOTOPOLYMERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS**

I hereby certify that the following correspondence:

Response to Notice to File Missing Parts of Application (3 pgs, in triplicate); Declaration, Power of Attorney and Petition (6 pgs); PTO-1595 Recordation Cover Sheet and Assignment (7 pgs); Copy of Notice to File Missing Parts Filing Date Granted (2 pgs); PTO-2038 Credit Card Payment Form in the amount of \$1,700.00; postcard; and Certificate of Express Mailing Label No. EV 485 807 621 US

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

October 13, 2004

(Date)

Kelli Clark

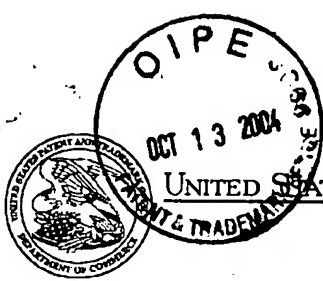
(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 485 807 621 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.



10-14-04

Page 1 of 2

PFW

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/759,261	01/19/2004	William Freeman	15436.121.1.1

022913

WORKMAN NYDEGGER (F/K/A WORKMAN NYDEGGER & SEELEY)
60 EAST SOUTH TEMPLE
1000 EAGLE GATE TOWER
SALT LAKE CITY, UT 84111

CONFIRMATION NO. 6915

FORMALITIES LETTER



OC000000012411588

Date Mailed: 04/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

10/18/2004 KHEKONEN 00000016 10759261

01 FC:1051

130.00 OP

T. Ketsela

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE